

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of )  
NEEDLEMAN et al. ) Group Art Unit 1642  
Serial No. 09/387,340 ) Examiner: Minh-Tam Davis  
Filed: August 31, 1999 ) Atty. Docket: MON-102.0-C  
Continued Prosecution Application ) (3119-C)(061765.00367)  
Filed: January 4, 2002 )

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For: **AN IMMUNOLOGICAL PROCESS FOR INCREASING THE HDL  
CHOLESTROL CONCENTRATION (AS AMENDED)**

**THIRD PRELIMINARY AMENDMENT**

The Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Applicants would like to thank Examiner Davis for the courtesies shown to their undersigned representative and to Dr. Fedde during the interview conducted on April 10, 2002.

As Examiner Davis indicated at that interview, pending confirmation of the arguments made at the interview, she expected to provide favorable consideration to the pending application. This paper provides a record of the substance of that interview.

During the interview, Examiner Davis was shown a Rule 131 Declaration, to be executed by the inventors, and supporting Exhibits which (1) establish conception prior to both the effective date of WO 96/39168 (December 12, 1996) as well as the effective date of WO